Compliance Committee
FY-2017

Subject Matter Experts

Rules & Procedures: Heidi Wright (Chair)
Affirmative Action Representative: Dr. Edward Romero
Athletics (NCAA): Katelyn Rimmel
Disabilities Services (Students): Rebecca Tuerk
Electronic Information Resources: Lydia Harkey
Federal Communications Commissions: Jerrod Knight
Financial Aid (Title IV): Maria Ramos
Financial Services: Paula Hanson
Graduate Admissions: Dr. Mary Beth Sampson
International Student Exchange Visitors Program (SEVP/J1): John Mark Jones
IT Security (TAC6202): Tim Murphy
Judicial Affairs (Student Rules): Robert Dotson
Payroll (Tax): Lisa Blackwell
Police (Clery Act): Lt. Jason Bone
Procurement Services (HUB): Travis Ball
Registrar (FERPA): Paige Bussell
Research (IRB/IACUC/IBC/Export Control): Glenda Denton
Residential Living & Learning: Jess Gamez
Safety & Risk Management: Derek Preas
Student Health Services (HIPAA): Maxine Mendoza-Welch
Title IX & Section 504 Rehabilitation Act Coordinator: Michele Vieira
Undergraduate Admissions: Jody Todhunter

The main objective of the Compliance Office is not to find fault or to provide a solution, but to facilitate a solution through understanding what is required.

Mitigating risks and creating a culture of accountability through education.
Vision

To serve the University as the primary professional resource in identifying and mitigating compliance risks.

Purpose

The Compliance Office oversees the University Compliance Program, ensuring the institution is following federal and state regulation, as well as Texas A&M University System regulatory standards. To do this, the office works with System Offices and other Members to establish and maintain an effective, system-wide ethics and compliance program. The Compliance Office certifies that policies and procedures are being followed and that behavior in the organization meets the standards set forth in applicable laws and the institution's commitment to integrity.

Compliance is not a stand-alone discipline. Compliance works collaboratively with the President and System Ethics and Compliance Officer (SECO) to protect the integrity of the University. This Office also works with the following System offices to mitigate risks: Internal Audit, Office of General Counsel and Risk Management. Compliance is ultimately a team effort.

Mission

To develop and maintain policies and procedures reasonably designed to detect and prevent violations of the enforced standards and the law, while also fostering a culture of compliance with the highest ethical standards within a distributed compliance structure.

The objectives of a compliance program are to:

⇒ Provide a systematic approach to the early identification and management of risks
⇒ Provide consistent risk assessment criteria
⇒ Make available accurate and concise risk information that informs decision making including business direction
⇒ Adopt risk treatment strategies that are cost effective and efficient in reducing risk to an acceptable level
⇒ Monitor and review risk levels to ensure that risk exposure remains within an acceptable level

Governance

System and University Ethics and Compliance responsibilities are defined in System Policy 16.01, System Regulation 16.01.01, University Procedure 16.01.01.R0.01 and in the Texas Education Code Section 51.971, Compliance Program.