

# GEOFFREY VANDERPAL

POST-DOC, DBA-FINANCE, MBA, CFP®, CLU®, CTP®, PMP®

[www.LinkedIn.com/Gvanderpal](http://www.LinkedIn.com/Gvanderpal)

## CURRICULUM VITAE HIGHER EDUCATION

### EDUCATION AND CREDENTIALS

**Post-Doctorate of Management, Concentration: Global Leadership** | Colorado Technical University

**Doctorate of Business Administration in Finance (Honors)** | Nova Southeastern University

**Master of Business Administration** | Webster University

**Bachelor of Science in Business Administration** | Columbia College

*Finance, Marketing and Management*

*Certified Financial Planner™ (CFP®)*

*Chartered Life Underwriter (CLU®)*

*Certified Treasury Professional (CTP®)*

*Project Management Professional (PMP®)*

*Chartered Alternative Investment Analyst (CAIA®) Level II Candidate*

### PROFESSIONAL EDUCATOR

**PROGRAM CHAIR, FACULTY SENATE, PROFESSOR, LECTURER & COURSE LEAD** | 2002 – PRESENT

Multiple Universities – USA, France, Bermuda, Ghana, Thailand, Cambodia & Vietnam

Spearhead higher educational learning processes to integrate curriculum development and practical applications of new knowledge integration, synthesis, and scientific research scholarship through diverse delivery learning models, teaching more than 300 online and in classroom courses and seminars in the discipline of finance and business. Experience with Blackboard, WebCT, Angel, Canvas, D2L, Brightspace, Sukai, eCollege, Moodle, and other learning management systems.

### COURSES TAUGHT

Corporate Finance | Managerial Finance | Managerial Accounting | Management | Strategy | Project Finance | Portfolio Management | Investments | Derivatives & Markets | Money & Banking | Insurance & Risk Management | Retirement Planning International Finance | Managerial Economics | Financial Planning | Business Policy | Healthcare Accounting | Marketing Research Financial Statement Analysis | Sustainable Business Accounting | Bond & Fixed Income Management | International Business | Data Science | Financial Ethics | Intermediate Finance | Research and Analysis in Accounting | Human Resource Management

### PROFESSIONAL BUSINESS EXPERIENCE

**DIRECTOR, FORMER CFO/COO (INTERIM), STRATEGY ADVISOR & CO-FOUNDER** | 2017 - PRESENT

Alexia Kay Design LLC, Las Vegas, NV & Bangkok, Thailand, Phnom Penh, Cambodia

Key in the design and manufacturing of fashion accessories, leading organization as interim CFO and focusing on consulting regarding finance, strategic planning and operations. Identify and negotiate with suppliers and vendors.

**Chief Investment Officer, Owner, Supervisory Principal** | 2002 – 2012 & 2015-2016

Elite Financial Planning Group of America, Inc. (PPAM LLC) & VanderPal Capital Management LLC, Austin, TX & Las Vegas, NV, Dallas, TX (3 Locations)

Provided executive-level management support with full operations accountability for wealth management firm. Acquired, trained, retained and developed staff. Founded licensed insurance brokerage licensed in 16 states and a state Registered Investment Advisory firm. FINRA (Formerly NASD) series 7,9,10,24,31, 63 & 65 licensed. Developed a proprietary method of principal protected investing

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based on doctoral research. Provided investment consulting regarding various securities, asset allocation strategies and investment programs for clients and insurance advisory services.

## **Vice President, Financial Advisor, Supervisory Principal | 2000 – 2002**

First Union Securities, Inc. (Wachovia), Baltimore, MD

Led targeted support for wealth management, banking, lending, financial planning and insurance services provided as the Vice-President and Financial Advisor for HNW clients. FINRA (Formerly NASD) series 7,9,10,24,31, 63 & 65 licensed. Supervised securities sales and compliance of licensed bankers.

## **Senior Financial Executive, CitiGold Executive, Supervisory Principal | 1992 – 2000**

Citicorp Investment Services & Citibank N.A. (FSB), Crystal Lake, IL, Las Vegas, NV & San Ramon, CA

Led repeated organizational success through performing investment management, Insurance advisory, personal financial planning, managing banking products for HNW clients, and leading securities licensed staff. FINRA (Formerly NASD) series 7,9,10,24,31, 63 & 65 licensed. Supervised securities sales and compliance of licensed bankers

## **ADDITIONAL EXPERIENCE & LEADERSHIP**

**Village Trustee (elected) | IL | 1995 - 1997:** *One of the youngest elected officials in Illinois history. Involved and tasked with oversight of all Village regulatory, financial, safety and human resource issues as an elected Board member. Responsibility of Board included over 70 Village employees and a \$12 Million annual budget and 16,000 residents.*

**Ambassador at Large & Economist (Honorary Appointment) | 2007 - Present**

**FINRA Securities Arbitrator | 1998 - Present**

**Past President | Southern Nevada Financial Planning Association | 2004 & 2005**

**Treasurer & Elected Director | HOA for 241 homes | 2006 - 2008**

**Diplomatic Appointment as Honorary Consul for the Slovak Republic | 2006 - 2009**

**Paired Reviewer (2004) and Content Specialist (2008) CFP Exam | CFP Board of Standards**

**Associate Editor USA | BT- Journal of Business Studies,**

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## **DISSERTATION & PEER-REVIEWED PUBLICATIONS & PROFESSIONAL PUBLICATIONS**

1. **VanderPal, Geoffrey.** (2006). S&P 500 Index investing versus hedged S&P 500 Index investing (Diss, Nova Southeastern University, 2006) Dissertation Abstracts International, 55. (UMI No. AAT 3205549).
2. **VanderPal, Geoffrey.** (2004, January). The Advantages and Disadvantages of Equity Index Annuities. *Journal of Financial Planning.*
3. **VanderPal, Geoffrey.** (2007). Case Study 10 & 17. In Brian Kline, *How to Read & Understand Financial Statements When You Don't Know What You Are Looking At: For Business Owners and Investors.* Ocala: Atlantic Publishing.
4. **VanderPal, Geoffrey.** (2008). Equity Index Annuities. *Journal of Personal Finance.* 7(2), 34-43.
5. **VanderPal, G.,** Marrion, J., & Babbel, D. (2009). *Real World Index Annuity Returns.* Philadelphia: Wharton Financial Institution Center/ University of Pennsylvania.
6. **VanderPal, G.,** Marrion, J., & Babbel, D. (2011, March). *Real World Index Annuity Returns.* *Journal of Financial Planning.*
7. **VanderPal, Geoffrey.** (2013). Simplified Option Selection Method. *Journal of Accounting and Finance.* 13(3).
8. **VanderPal, Geoffrey.** (2014). Global Leadership and Emotional Quotient. *Journal of Applied Business and Economics.* 16(5).
9. **VanderPal, Geoffrey.** (2014). Global Leadership, IQ and Global Quotient. *Journal of Management Policy and Practice.* 15(5).
10. **VanderPal, Geoffrey & Ko, Victor.** (2014). An Overview of Global Leadership. *Journal of Leadership, Accountability and Ethics.*

11. **VanderPal, Geoffrey.** (2015, May). Don't Let Clients Become Identity Theft Victims. *Journal of Financial Planning*.
12. **VanderPal, Geoffrey.** (2015). R&D Expense Versus Financial Performance. *Journal of Accounting and Finance*. 15(7).
13. **VanderPal, G.** (2017). *Invincible Investing: The Ultimate and Proven Investing Method of Principal Protection with Market Gains*. USA, Amazon.
14. **VanderPal, G.,** Robinson, D. & Hoang, D. (2017). Leadership Flaws and Organizational Stages. *American Journal of Management*.
15. **VanderPal, G.,** Robinson, D. & Hoang, D. (2017). Entrepreneurs' Leadership Experiences with Specific Regard to Mentorship. *Journal of Leadership, Accountability and Ethics*. 14(3).
16. **VanderPal, G.,** Spanner, M. (2018). Exploratory study of 3 Cases of Corporate Crisis and Stock Market Reaction. *Journal of Leadership, Accountability and Ethics*. 15(3).
17. **VanderPal, G.** (2019). Exploring the Nexus Between Research and Development Expenditures and Corporate Financial Performance: A Sectoral Analysis. *American Journal of Management*, 19(4). <https://doi.org/10.33423/ajm.v19i4.2395>
18. **VanderPal, G.** (2019). Understanding the Nexus of R&D Expenditures and Intangible Assets in Different Asset Types: A Quantile Regression Approach. *Journal of Strategic Innovation and Sustainability*, 14(6). <https://doi.org/10.33423/jsis.v14i6.2612>
19. **VanderPal, G.** (2019). Nonlinear Effect of R&D Expenditures and Intangible Assets on Financial Performance: A Panel Threshold Analysis. *Journal of Applied Business and Economics*, 21(9). <https://doi.org/10.33423/jabe.v21i9.2686>
20. **VanderPal, G.** (2020). How Intangible Assets Affect the Corporate Financial Performances and How It Varies from Sector – to – Sector?. *Journal of Accounting and Finance*, 19(8). <https://doi.org/10.33423/jaf.v19i8.2624>